

14 September 2018

Our Ref COMMITTEE 24/09/18
Your Ref.
Contact. Amelia McNally
Direct Dial. (01462) 474514
Email. amelia.mcinaly@north-herts.gov.uk

To: Members of the Committee: Councillors Terry Hone, Simon Harwood, Ian Albert,
Kate Aspinwall, Jim McNally, Ian Moody and Terry Tyler

Substitutes: Councillors Steve Jarvis, Ben Lewis, Helen Oliver and Janine Paterson

You are invited to attend a

MEETING OF THE FINANCE, AUDIT AND RISK COMMITTEE

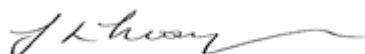
to be held in the

**COUNCIL CHAMBER, COUNCIL OFFICES, GERNON ROAD,
LETCWORTH GARDEN CITY**

On

MONDAY, 24TH SEPTEMBER, 2018 AT 7.30 PM

Yours sincerely,



Jeanette Thompson
Service Director – Legal and Community

Agenda **Part I**

Item	Page
1. APOLOGIES FOR ABSENCE	
2. MINUTES - 30 JULY 2018 To take as read and approve as a true record the minutes of the meeting of this Committee held on the 30 July 2018. Minutes to follow.	
3. NOTIFICATION OF OTHER BUSINESS Members should notify the Chairman of other business which they wish to be discussed by the Committee at the end of the business set out in the agenda. They must state the circumstances which they consider justify the business being considered as a matter of urgency. The Chairman will decide whether any item(s) raised will be considered.	
4. CHAIRMAN'S ANNOUNCEMENTS Members are reminded that any declarations of interest in respect of any business set out in the agenda, should be declared as either a Disclosable Pecuniary Interest or Declarable Interest and are required to notify the Chairman of the nature of any interest declared at the commencement of the relevant item on the agenda. Members declaring a Disclosable Pecuniary Interest must withdraw from the meeting for the duration of the item. Members declaring a Declarable Interest, wished to exercise a 'Councillor Speaking Right', must declare this at the same time as the interest, move to the public area before speaking to the item and then must leave the room before the debate and vote.	
5. PUBLIC PARTICIPATION To receive petitions and presentations from members of the public.	
6. ANNUAL AUDIT LETTER FOR THE YEAR ENDED 31 MARCH 2018 REPORT OF ERNST AND YOUNG To consider the Annual Audit Letter for NHDC 2017/18.	(Pages 1 - 26)

7. **SHARED ANTI FRAUD REPORT SERVICE (SAFS) REPORT** (Pages
REPORT OF THE HEAD OF COUNTER FRAUD, SHARED ANTI-FRAUD 27 - 48)
SERVICE
- To inform the Finance, Audit and Risk Committee of Anti-Fraud activity at NHDC 2017/18.
8. **ANNUAL GOVERNANCE STATEMENT ACTION PLAN 2018/19 -** (Pages
PROGRESS REVIEW 49 - 56)
REPORT OF THE SENIOR POLICY OFFICER
- To consider the progress against the Annual Governance Statement Action Plan 2017/18.
To consider the Annual Governance Statement Action Plan for 2018/19.
9. **SIAS - ANNUAL REPORT 2017/18** (Pages
REPORT OF THE CLIENT AUDIT MANAGER, SHARED INTERNAL AUDIT 57 - 72)
SERVICE
- To consider the Shared Internal Audit Services Annual Report 2017/18.
10. **SIAS PROGRESS REPORT 2018/19** (Pages
REPORT OF THE CLIENT AUDIT MANAGER, SHARED INTERNAL AUDIT 73 - 88)
SERVICE
- To receive an update on progress against the 2018/19 Audit Plan.
11. **RISK MANAGEMENT** (Pages
REPORT OF THE SERVICE DIRECTOR - RESOURCES 89 - 114)
- To provide the Finance, Audit and Risk Committee with a Risk Management Update.
12. **INTEGRATED CAPITAL AND TREASURY STRATEGY** (Pages
REPORT OF THE SERVICE DIRECTOR – RESOURCES 115 -
142)
- To consider the report entitled Integrated Capital and Treasury Strategy.
13. **FUTURE MEETING - POSSIBLE AGENDA ITEMS**
Introduced by the Chairman.